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Application Number	09801490
Filing Date	2001-03-08
First Named Inventor	Keith
Art Unit	3694
Examiner Name	Daniel S. Fellen
Attorney Docket Number	125530

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U.S. Securities and Exchange Commission, "Special Study: Payment for Order Flow and Internalization in the Options Markets," Office of Compliance Inspections and Examinations/Office of Economic Analysis, December 2000, <<http://www.sec.gov/news/studies/ordpay.htm>> [retrieved March 25, 2008], pages 1 and 12-14.

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